

### BIS Licensing of 600-series, 9x515 and Firearms Items

October 15, 2013 to June 30, 2021

Since October 15, 2013, certain items that were determined not to warrant control on the United States Munitions List (USML) have moved to the Commerce Control List (CCL), creating 600-series ECCNs, 9x515 ECCNs for Spacecrafts and Satellites, and ECCNs for firearms items.

From October 15, 2013 to June 30, 2021, BIS has processed a total of 116,240<sup>1</sup> applications for 600-series, 9x515 and Firearms items. Of these, 97,523 applications were for 600-series items, 6,750 were for 9x515 items and 11,967 were for Firearms items.

Of 97,523 applications for 600-series items:

- Approved applications were 87,857, 90.1% of the total;
- Returned without action (RWA) were 9,385, 9.6% of the total; and
- Denied applications were 281, 0.3% of the total.

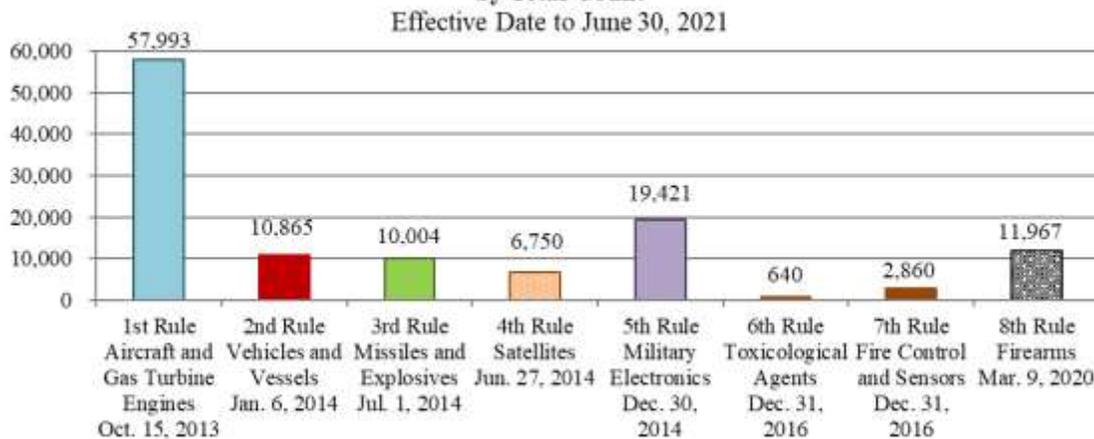
Of 6,750 applications for 9x515 items:

- Approved applications were 5,743, 85.1% of the total;
- RWA were 897, 13.3% of the total; and
- Denied applications were 110, 1.6% of the total.

Of 11,967 applications for Firearms items:

- Approved applications were 11,322, 94.6% of the total;
- RWA were 596, 5.0% of the total; and
- Denied applications were 49, 0.4% of the total.

**BIS License Applications for 600-Series, 9x515 and Firearms Items  
 by Total Count**

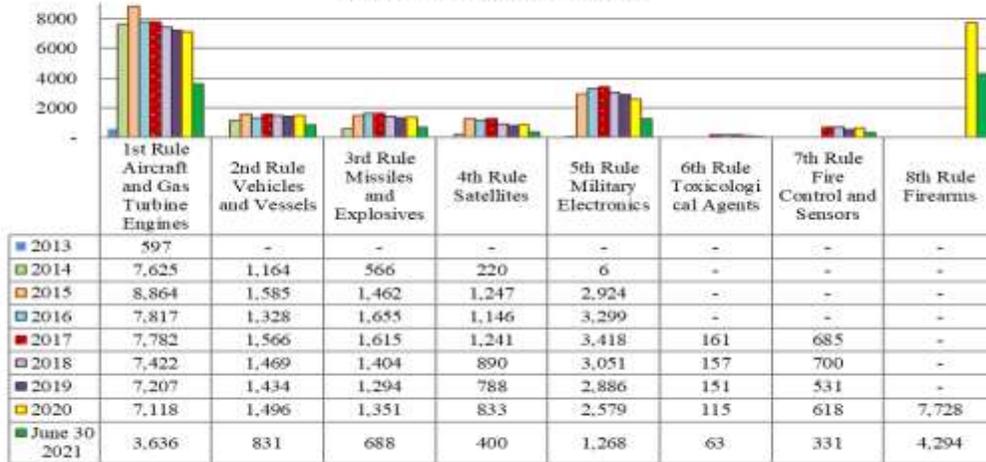


Source: Commerce U.S. Exports Exporter Support System, retrieved on July 23, 2021

<sup>1</sup> Note: This number for the total applications is slightly smaller than the sum of all applications by each rule because license applications containing multiple ECCNs may be associated with more than one regulatory change. For instance, a single application containing 9A610 and 0A606 items would be counted in both the 1<sup>st</sup> rule column and the 2<sup>nd</sup> rule column.

**BIS License Applications for 600-Series, 9x515 and Firearms Items by Year**

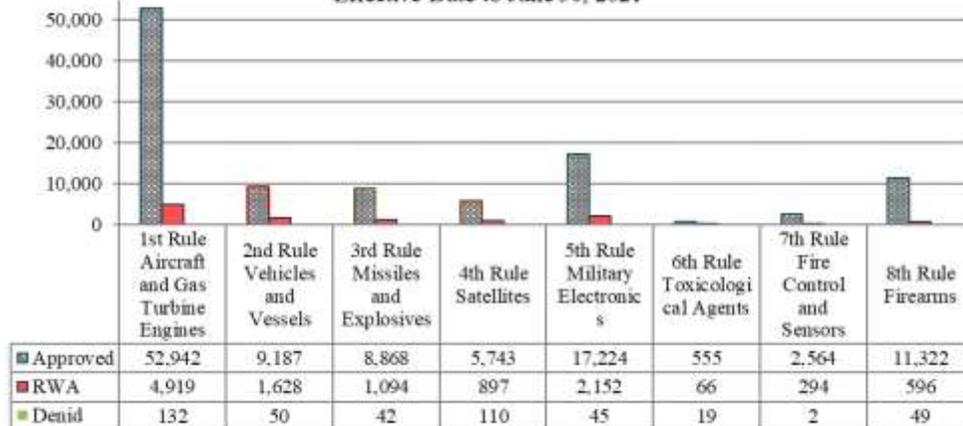
Effective Date to June 30, 2021



Source: Commerce U.S. Exports Exporter Support System, July 28, 2021

**BIS License Applications for 600-Series, 9x515 and Firearm Items by Decisions**

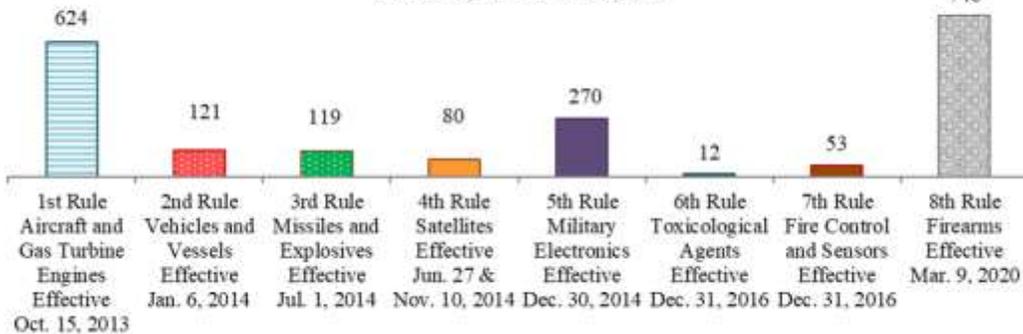
Effective Date to June 30, 2021



Source: Commerce U.S. Exports Exporter Support System, July 28, 2021

**BIS Average Monthly Applications by USML to CCL Regulatory Changes**

October 15, 2013 to June 30, 2021



Source: Commerce U.S. Exports Exporter Support System, retrieved on July 23, 2021

From October 15, 2013 to June 30, 2021, BIS has processed applications for 600-series, 9x515 and Firearms items for a total value of \$375.6 billion. Of these, \$229.0 billion for 600-series items, \$129.7 billion for 9x515 items and \$16.9 billion for Firearms items.

Of \$229.0 billion for 600-series items:

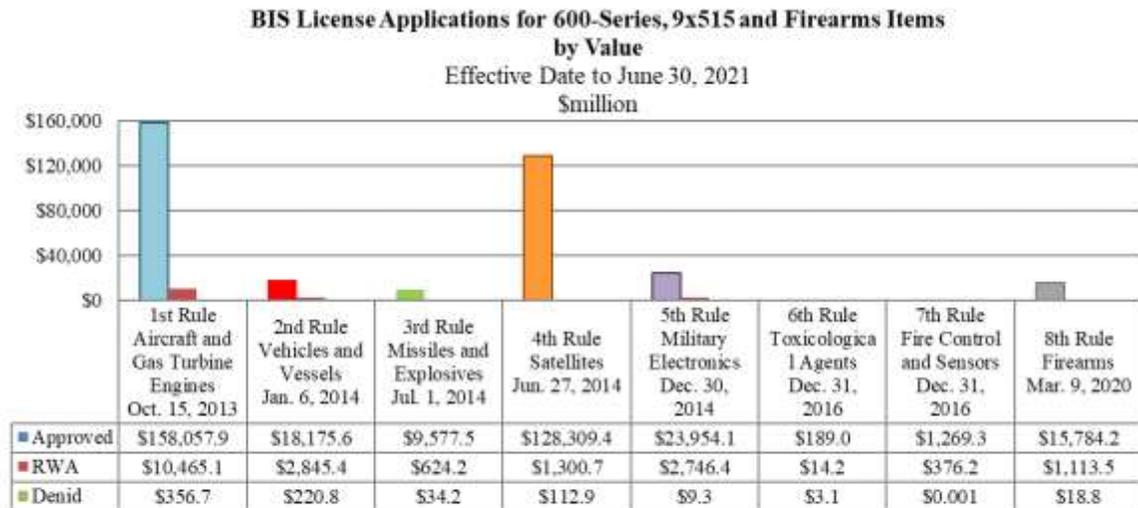
- Approved applications valued at \$211.2 billion, 92.3% of the total application value;
- Returned without action (RWA) valued at \$17.1 billion, 7.5% of the total; and
- Denied applications valued at \$624.1 million, 0.3% of the total.

Of \$129.7 billion for 9x515 items:

- Approved applications valued at \$128.3 billion, 98.9% of the total application value;
- Returned without action (RWA) valued at \$1.3 billion, 1.0% of the total; and
- Denied applications valued at \$112.9 million, 0.1% of the total.

Of \$16.9 billion for Firearms items:

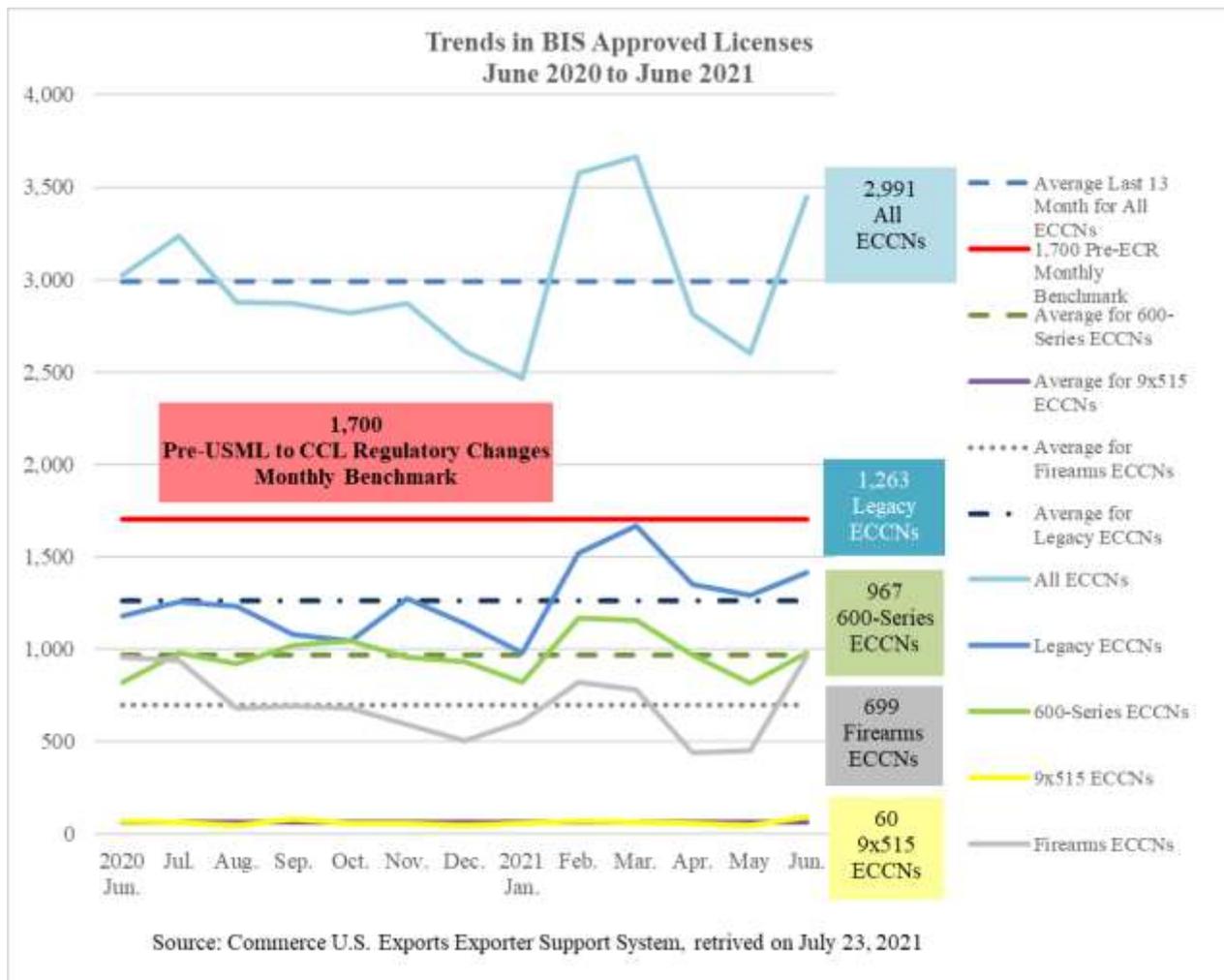
- Approved applications valued at \$15.8 billion, 93.3% of the total application value;
- Returned without action (RWA) valued at \$1.1 billion, 6.6% of the total; and
- Denied applications valued at \$18.8 million, 0.1% of the total.



Source: Commerce U.S. Exports Exporter Support System, July 28, 2021

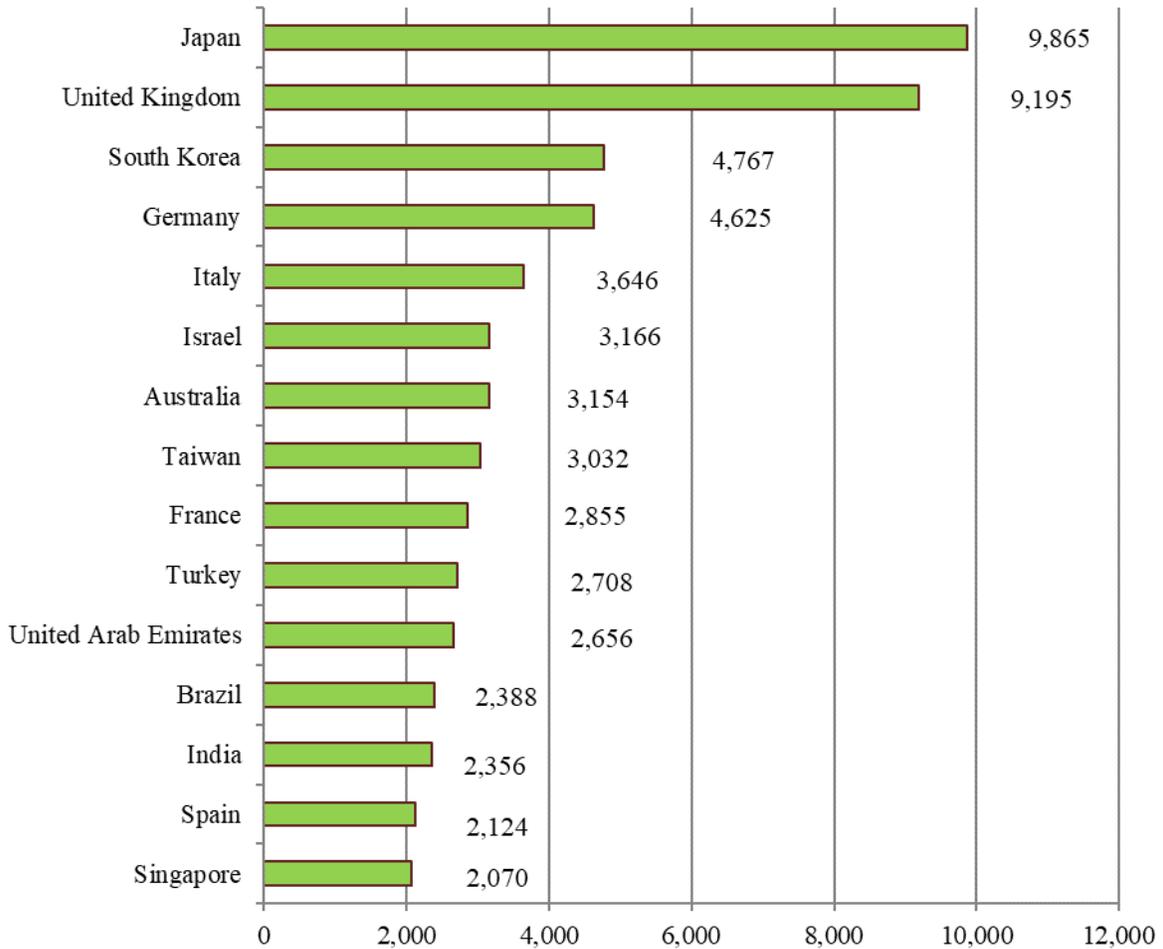
In the last 13 months from June 2020 to June 2021, the average monthly BIS license approvals for all ECCNs are 2,991, indicating an increase of 75.9% from the average monthly approvals of 1,700 during the fiscal year 2012 to 2013, prior to the first regulatory change from the USML to the CCL became effective on October 15, 2013:

- For 600-Series items, the average monthly license approvals are 967;
- For 9x515 items, the average monthly license approvals are 60;
- For Firearms items, the average monthly license approvals are 699; and
- For legacy ECCNs, the average monthly license approvals are 1,263.



Of the total 87,857 BIS approved licenses for 600-series items from October 15, 2013 to June 30, 2021, 58,607 (66.7% of the total approved licenses) were issued for exports to the top 15 destinations by license count. The top destinations were Japan with 9,865 approved licenses (11.2% of the total); the United Kingdom with 9,195 (10.5%), and South Korea with 4,767 (5.4%).

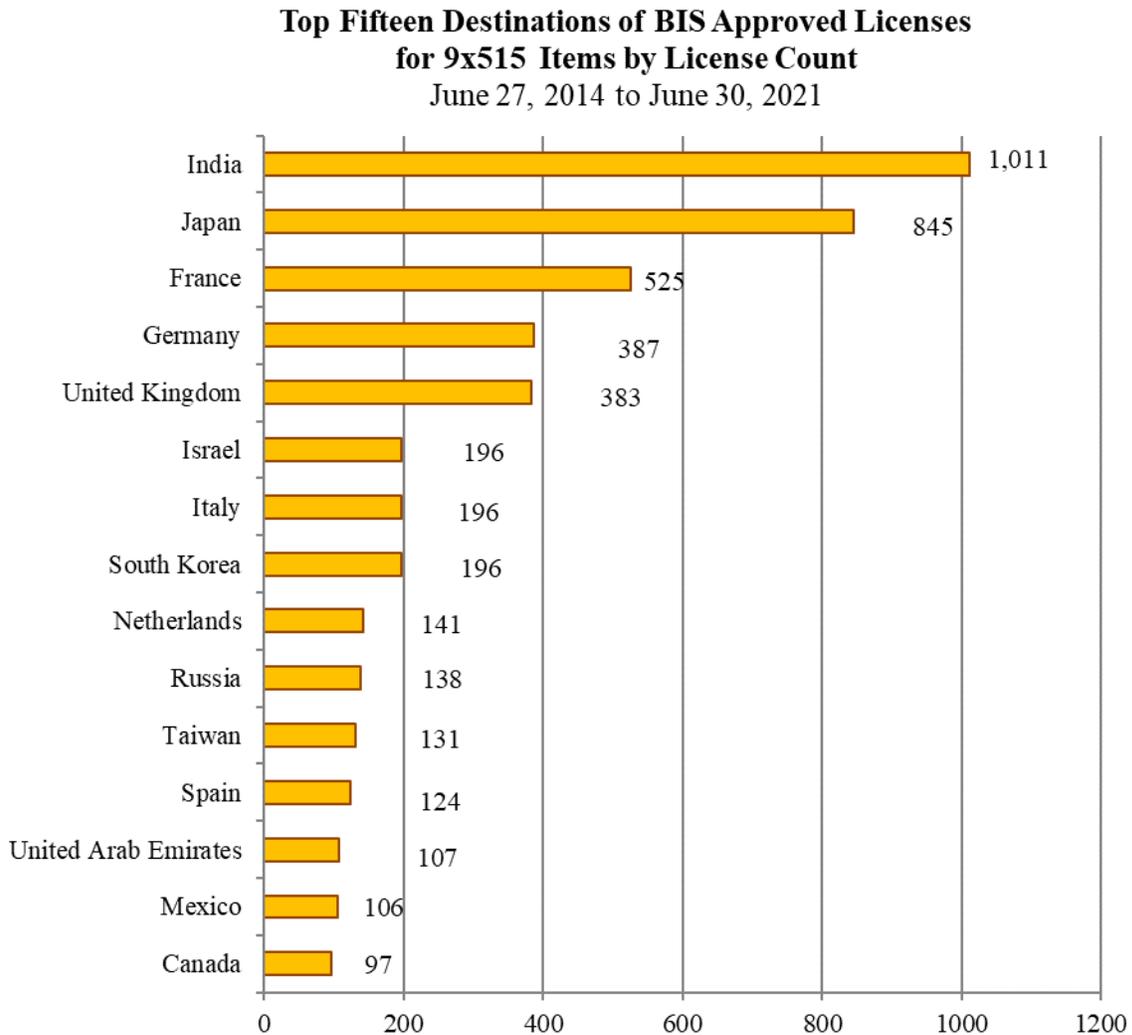
**Top Fifteen Destinations of BIS Approved Licenses  
 for 600-Series Items by License Count**  
 October 15, 2013 to June 30, 2021



Source: Commerce U.S. Exports Exporter Support System, August 13, 2021

<b>Top Ten Approved ECCNs for 600-Series Items by License Count</b>			
October 15, 2013 - June 30, 2021			
<b>ECCN</b>	<b>Description</b>	<b>Total Approved</b>	<b>Value \$million</b>
9A610	Military aircraft and related commodities	40,347	\$91,078.0
3A611	Military electronics	15,439	\$23,303.1
9E610	Technology "required" for the "development," "production," operation, installation, maintenance, repair, overhaul, or refurbishing of military aircraft and related commodities controlled by 9A610, equipment controlled by 9B610, materials controlled by 9C610 or software controlled by 9D610.	8,929	\$4,289.0
9A619	Military gas turbine engines and related commodities	8,699	\$52,258.6
0A606	Ground vehicles and related commodities	6,220	\$15,757.3
3E611	Technology "required" for military electronics	3,463	\$322.8
9E619	Technology "required" for the "development," "production," operation, installation, maintenance, repair, overhaul, or refurbishment of military gas turbine engines and related commodities controlled by 9A619, equipment controlled by 0B619, materials controlled by 9C619, or software controlled by 9D619.	3,146	\$339.5
1A613	Armored and protective "equipment" and related commodities	2,810	\$4,175.4
9A604	Commodities related to launch vehicles, missiles, and rockets	2,751	\$2,480.4
9B610	Test, inspection, and production "equipment" and related commodities "specially designed" for the "development" or "production" of commodities enumerated in ECCN 9A610 or USML Category VIII	2,616	\$9,617.9
Source: Commerce U.S. Exports Exporter Support System, retrieved on August 13, 2021			

Of the total of 5,743 BIS approved licenses for 9x515 items from June 27, 2014 to June 30, 2021, 4,583 (79.8% of the total approved licenses) were issued for exports to the top 15 destinations by license count. The top destinations were India with 1,011 approved licenses (17.6% of the total); Japan with 845 approvals (14.7%), and France with 525 approvals (9.1%).



Source: Commerce U.S. Exports Exporter Support System, July 28, 2021