



UNITED STATES DEPARTMENT OF COMMERCE  
Bureau of Industry and Security  
Office of Information and Communications Technology and Services  
Washington, D.C. 20230

## Securing the Information and Communications Technology and Services Supply Chain 15 CFR Part 791

### ICTS Supply Chain: Connected Vehicles Subpart D

#### GENERAL AUTHORIZATION NO. 3 Approved Supplier Registry

#### Background

The purpose of this general authorization is to establish a process for automotive suppliers to apply directly to the Bureau of Industry and Security (BIS) to obtain approval for themselves or for individual products. Approved companies and products will be added to an Approved Supplier Registry, which will be published at a later date. This general authorization does not change any substantive aspect of the Connected Vehicles Rule, or the national security risk that the Connected Vehicles Rule intends to address. All terms not defined herein shall have the meaning ascribed to them in 15 C.F.R. § 791.301, unless otherwise specified.

#### (a) General Authorization No. 3, Approved Supplier Registry.

(1) VCS hardware importers are authorized to engage in the otherwise prohibited import of certain VCS hardware, without being granted a specific authorization, provided that both the VCS hardware and its respective supplier(s) are listed together in the Approved Supplier Registry.

(2) Connected vehicle manufacturers are authorized to engage in the otherwise prohibited import or sale of completed connected vehicles that incorporate covered software, without being granted a specific authorization, provided that both the covered software and its respective supplier(s) are listed together in the Approved Supplier Registry.

#### (b) Conditions for Use.

(1) Conditions for Use of Suppliers. Connected vehicle manufacturers and VCS hardware importers may only utilize this general authorization if the following requirements are satisfied:

(i) The supplier and its relevant VCS hardware or covered software are listed together in the Approved Supplier Registry; and

(ii) The connected vehicle manufacturer or VCS hardware importer maintains responsibility for monitoring any changes to the Approved Supplier Registry.

(2) Effect of Inclusion. VCS hardware importers or connected vehicle manufacturers may rely on this general authorization to utilize an approved supplier's listed VCS hardware or covered software within its supply chain without being granted a specific authorization. VCS hardware importers or connected vehicle manufacturers shall instead declare the supplier's relevant VCS hardware or covered software in a declaration of conformity pursuant to 15 C.F.R. § 791.305 and the directions on the BIS Connected Vehicles Website, [bis.gov/connected-vehicles](https://bis.gov/connected-vehicles).

(c) Application for Inclusion in the Approved Supplier Registry.

(1) Eligible Applicants. Suppliers of connected vehicle manufacturers and VCS hardware importers, that are not otherwise eligible to apply for a specific authorization under the Connected Vehicles Rule, are eligible to apply for inclusion in the Approved Supplier Registry.

(2) Application Instructions. For instructions regarding the application process for inclusion in the Approved Supplier Registry, including required submission materials, please visit the BIS Connected Vehicles Website, [bis.gov/connected-vehicles](https://bis.gov/connected-vehicles). Generally for an application to receive consideration, applicants must provide a justification and detailed proposal sufficient for BIS to determine whether the covered software or VCS hardware poses a national security risk, including a description of any existing or planned controls and measures implemented to prevent or mitigate potential risks. A supplier may submit a single application for the inclusion of multiple covered software or VCS hardware items, as applicable.

(d) Inclusion Process.

(1) Review. Applications will be reviewed on a case-by-case basis, and subject to BIS discretion, to assess whether the covered software or VCS hardware, taking into account the information (including mitigation steps) presented in the application, presents an undue or unacceptable risk to national security pursuant to E.O. 13873. If BIS determines that the facts presented in the application do not indicate an undue or unacceptable risk to U.S. national security, BIS will approve the application.

(2) Decisions and Scope. BIS will notify each applicant in writing of its decision regarding the application.

(i) BIS may request additional information or briefings prior to making a decision;

(ii) BIS may require a letter of assurance, mitigation agreement, or for certain conditions to be met before inclusion on the Approved Supplier Registry; and

(iii) BIS will generally not reconsider applications for the Approved Supplier Registry absent material new information or changed circumstances.

(e) Modifications to the Approved Supplier Registry.

(1) Listing and Removal. BIS may add suppliers and covered software or VCS hardware to the Approved Supplier Registry upon receipt of an application, if BIS determines that the facts presented in the application do not indicate an undue or unacceptable risk to U.S. national security. BIS may remove suppliers from the Approved Supplier Registry for failure to adhere to the terms of a letter of assurance or mitigation agreement, if certain agreed upon conditions are not met, or if BIS receives or learns of new information that indicates that the supplier's VCS hardware or covered software does present an undue or unacceptable risk to U.S. national security, or that contradicts information provided in its application. Additionally, BIS may remove suppliers from the Approved Supplier Registry at its discretion at any time in the interest of national security. To the extent practicable in consideration of national security risks, BIS will notify suppliers of the reason(s) for their removal from the Approved Supplier Registry.

(2) Materially False Information. Any materially false or misleading representation in or otherwise associated with a supplier's application, or in any document submitted in connection with a supplier's application, may result in the immediate removal of that supplier from the Approved Supplier Registry.

(f) Recordkeeping. Records demonstrating compliance with the terms of a general authorization must be retained by the person availing itself of such general authorization for a period of 10 years, as specified in 15 C.F.R. § 791.312, and must be made available to BIS upon request.

(g) Change in circumstance. Within 30 days of discovering a change in circumstance, including those outlined in paragraph (e), a connected vehicle manufacturer or VCS hardware importer availing itself of this general authorization must assess if it still qualifies for the general authorization and, in the case that it determines the articles subject to this general authorization have been imported or used outside the conditions of the general authorization, cease any prohibited conduct, conduct an internal inquiry, and submit a report to BIS as prescribed in 15 C.F.R. § 791.306(e)(1).

(h) Verification. BIS may, at its discretion, seek verification from connected vehicle manufacturers and VCS hardware importers as to whether they are relying on a general authorization, and if so, may request documentation to verify compliance with the requirements of such general authorization. BIS may further conduct verification directly with any supplier listed on the Approved Supplier Registry, including verification of compliance with the conditions for inclusion.

(i) Restrictions. Connected vehicle manufacturers and VCS hardware importers may not avail themselves of this general authorization if any one or more of the following apply:

(1) BIS has notified the connected vehicle manufacturer or VCS hardware importer either directly or through a publicly posted advisory opinion, that it is not eligible for a general authorization; or

(2) The connected vehicle manufacturer or VCS hardware importer is owned by, controlled by, or subject to the jurisdiction or direction of foreign governments identified in 15 C.F.R. § 791.4(a)(1) or (a)(5) unless otherwise authorized through a specific authorization.

(j) Effect of general authorization. Nothing in this general authorization excuses compliance with any other applicable Federal, State, or local law or regulation, including legal requirements administered by BIS or any other Federal agency with jurisdiction over the transaction or activity at issue. This general authorization does not release any person from civil or criminal liability for any violation of any other applicable law or regulation, nor does it constitute authorization under any other statutory or regulatory authority.

(k) Contact Information. Please reach out to [CV-intake@bis.doc.gov](mailto:CV-intake@bis.doc.gov) with any questions.

(l) Additional Information. Additional information on the Connected Vehicles Rule can be found on the BIS Connected Vehicles Website, [bis.gov/connected-vehicles](https://bis.gov/connected-vehicles).

(1) The Approved Supplier Registry can be found on the BIS Connected Vehicles Website, [bis.gov/connected-vehicles](https://bis.gov/connected-vehicles).

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